Template for the CCP Compliance Report (CCR) For the Implementation of SIOFA Conservation and Management Measures

**Assessment Period 1 January – 31 December 2024**

In accordance with [CMM 11 (2020) (Compliance Monitoring Scheme)](https://siofa.org/sites/default/files/documents/cmm/CMM-2020-11-Compliance-Monitoring-Scheme.pdf), CCPs are invited to prepare a CCP Compliance Report (CCR) based on this template, including a preliminary self-assessment of its compliance status for each assessed obligation.

Reports of national binding measures[[1]](#footnote-2) are to be reported in the first section of the CCR. These reports shall be accompanied by copies of those measures, both in their original language and in English, where possible. National binding measures should be accompanied by a brief summary of their contents and relevance in English.

Statements of Implementation may refer to these measures, providing the text from the relevant clause, including a citation.

CCPs that have not updated national binding measures since the last compliance assessment are not required to report these measures but to indicate “National Binding Measures have not been updated since last compliance assessment”.

For clarity, all references to “Previous Calendar Year” refer to the year precedingthe Assessment Period.

**Submission Deadline: DD-MMM-2025**

Name of CCP: Choose an item.

Date of Report Submission: Click or tap to enter a date.

Report of National Domestic Measures to Implement Conservation and Management Measures

***Implementation of the Southern Indian Ocean Fisheries Agreement***

**National Binding Measures have not been updated since the last compliance assessment.** Please proceed to CMMs

|  |  |
| --- | --- |
| **Article 10(2):** (For Coastal States only) Indicate measures (if any) taken to manage straddling stocks occurring in waters under your jurisdiction adjacent to the SIOFA Area. | Preliminary Self-Assessment |
| *Indicate measures here*  No measures established.  Not applicable - *Please provide an explanation:* | Choose an item. |

***Implementation of CMM 01 (2023) (Interim Management of Bottom Fishing)***

## General provisions and definitions

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| **Para 2:** Have vessel(s) flying your flag engaged in, or intend to engage in, bottom fishing in the agreement area?  Yes  No. Please skip to the next CMM if vessel(s) flying your flag has not engaged in bottom fishing during the assessment period. No submissions are required in the Compliance Report Section for this CMM. |

**National Binding Measures have not been updated since the last compliance assessment.** Please proceed to the next CMM

***Vulnerable Marine Ecosystems***

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| **Para 12:** Threshold level for Vulnerable Marine Ecosystems (VME) applied to flagged vessel(s) as prescribed by paragraph 12 of this CMM | Preliminary Self-Assessment |
| Indicate measures established and applied to ensure compliance of vessels(s) flying your flag with established threshold levels prescribed in Paragraph 12 of this CMM, for encounter with VMEs: *Indicate measures here.* | Choose an item. |

|  |  |
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| **Para 13:** Requirements established to cease bottom fishing upon evidence of VME encounter | Preliminary Self-Assessment |
| Indicate measures to implement requirements of paragraph 13: *Indicate measures here.*  No measures established | Choose an item. |
| Have evidence of VME encounters reported in National Reports to the Scientific Committee, following guidelines established in Annex 2  Yes  No  Not applicable - *Please provide an explanation:* |

## Interim Protected Areas (IPA)

|  |  |
| --- | --- |
| **Para 45 (a):** Prohibition of bottom fishing, excluding line and trap methods in IPA designated in Annex 3 | Preliminary Self-Assessment |
| Prohibition Applied  Please indicate how the prohibition is being provisionally applied *Indicate measures here:*  Prohibition not applied | Choose an item. |

***Implementation of CMM 02 (2023) (Data Standards)***

## Application

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| --- |
| Have any vessels flying your flag been engaged in fishing[[2]](#footnote-3) in the Agreement Area during the assessment period? |
| YES  NO  lf no, please move to the next CMM |

**National Binding Measures have not been updated since the last compliance assessment.** Please proceed to the next CMM

**Vessel Catch and Effort Data**

|  |  |
| --- | --- |
| **Para 4:** Collection of Data | Preliminary Self-Assessment |
| Indicate measures established and applied to ensure that data on fishing activities, including for target, non-target and associated and dependent species such as marine mammals, marine reptiles, seabirds or 'other species of concern’, are collected from vessels flying their flag that are fishing in the Agreement Area, in accordance with the relevant sections of Annex A of this CMM  *Indicate measures here* | Choose an item. |

# Implementation of CMM 05 (2016) (Pelagic Driftnets and Deepwater Gillnets)

**National Binding Measures have not been updated since the last compliance assessment.** Please proceed to the next CMM

|  |  |
| --- | --- |
| **Para 1:** Prohibition of largescale pelagic driftnets in Agreement Area | Preliminary Self-Assessment |
| Are vessels flying your flag prohibited from using large-scale driftnets in the Agreement Area:  Yes  No  If yes Indicate measures in place to prohibit the use of large-scale pelagic driftnets by vessels flying your flag in the Agreement Area: Indicate measures here | Choose an item. |

# Implementation of CMM 06 (2022) (IUU Vessels List)

**National Binding Measures have not been updated since the last compliance assessment.** Please proceed to the next CMM

## IUU Vessels List

|  |  |
| --- | --- |
| **Para 19:** Measures to Prevent, Deter and Eliminate IUU Fishing | Preliminary Self-Assessment |
| Did you take all necessary measures under applicable legislation *and* pursuant to paragraphs 56 and 66 of the IPOA IUU to ensure that implement measures in paragraph 19. a – j:  Yes  No  If yes please indicate measures to:  eliminate the IUU activities, including if necessary, the withdrawal of the fishing licences, authorisations or permits granted to vessels included in the IUU Vessel List, and the refusal of the fishing licences, authorisations or permits to such vessels: Indicate measures here  ensure that vessel(s) flying your flag do not participate in any transshipment or other operations such as joint-fishing, transfers at sea of fuel, crew, gear or any other supplies either as an unloading or receiving vessel, with vessels included in the IUU Vessel List: Indicate measures here  ensure that vessels on the IUU Vessel List are denied access to ports unless for the purpose of inspecting them and taking other appropriate actions in conformity with international law which are at least as effective as denial of port entry in preventing, deterring and eliminating IUU fishing:  give priority to the inspection of vessels on the IUU Vessel List, if such vessels are found your ports: Indicate measures here  prohibit the chartering of vessels on the IUU Vessel List: Indicate measures here  refuse to grant your flag to vessels on the IUU Vessel List: Indicate measures here  prohibit commercial transactions, such as imports, exports or re-exports, landings and transshipments of fisheries resources covered by the Agreement, as well as other operations involving such fisheries resources, from vessels on the IUU Vessel List: Indicate measures here  prohibit change of crew on board of vessels on the IUU Vessel List: Indicate measures here  encourage traders, importers and transporters to refrain from transactions in, and transshipment of, fishery resources covered by the Agreement caught by vessels on the IUU Vessel List: Indicate measures here  collect and promptly exchange with other Contracting Parties, CNCPs and PFEs, any appropriate relevant information on vessels included in the IUU Vessel List: Indicate measures here | Choose an item. |

***Implementation of CMM 07 (2022) (Vessel Authorization)***

**National Binding Measures have not been updated since the last compliance assessment.** Please proceed to the next CMM

|  |  |
| --- | --- |
| **Para 6 (b-d):** Vessel authorisations to operate in the Agreement Area | Preliminary Self-Assessment |
| Please indicate measures to ensure that vessel(s) flying your flag:  comply with all relevant SIOFA CMMs: Indicate measures here  that are on the SIOFA RAV keep onboard valid certificates of vessel registration and valid authorisation to fish and/or to undertake fishing-related activities: Indicate measures here  that are on the SIOFA RAV Vessel(s) have no history of IUU fishing, or, if those vessel(s) have such history, the new owners have provided sufficient evidence demonstrating that the previous owners and operators have no legal, beneficial or financial interest in or control over those vessel(s), or that have taken into account all relevant facts, your vessel(s) are not engaged in or associated with IUU fishing: Indicate measures here  No measures established.  Not applicable - *Please provide an explanation:* | Choose an item. |

|  |  |
| --- | --- |
| **Para7:** Vessel Authorization | Preliminary Self-Assessment |
| Indicate measures under your national legislation to prohibit fishing and fishing-related activities on fishery resources covered by the Agreement by vessels not registered in the SIOFA Record of Authorized Vessels: Indicate measures here  No measures in place under applicable legislation | Choose an item. |

***Implementation of CMM 08 (2020) (Port Inspection)***

## Application

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| --- |
| **Para 31:** Are you a port state with areas of national jurisdiction adjacent to the Agreement Area? |
| Yes Please fill this section of the CCR template as applicable  No Please skip paragraph 5 and complete paragraph 26 only |

**National Binding Measures have not been updated since the last compliance assessment.** Please proceed to the next CMM

|  |  |
| --- | --- |
| **Para 5:** Notification Period | Preliminary Self-Assessment |
| Indicate measures in place to ensure foreign vessel(s) calling into your ports submits information specified in Annex I of this CMM (at minimum), *at least* 48hrs before the estimated time of arrival. Indicate measures here  No measures in place  If you have a notification period other than 48hrs, have the secretariat been informed without delay:  Yes  No  No alternate notification period (Obligation Not Applicable)  Has there been any changes of notification period prior to the estimated time of arrival?  Yes  No  If yes have the secretariat been notified of these changes, *at least* 30 days before the changes took effect:  Yes. If yes please indicate  Date changes took effect: Click or tap to enter a date.    No | Choose an item. |

|  |  |
| --- | --- |
| **Para 26:** Flag CCPs obligations | Preliminary Self-Assessment |
| Please indicate measures to ensure vessel(s) flying your flag cooperate with port state inspections carried out pursuant to this CMM: Indicate measures here  No measures in place  Not applicable - *Please provide an explanation:* | Choose an item. |

# Implementation of CMM 09 (2022) (Control)

**National Binding Measures have not been updated since the last compliance assessment.** Please proceed to the next CMM

## Vessel Requirements

|  |  |
| --- | --- |
| **Para 3:** Onboard Documents | Preliminary Self-Assessment |
| Indicate measures to ensure that vessel(s) flying your flag:  Carry onboard the current valid documents issued by its competent authority that are contained in paragraph 6(c) of CMM 07 (2022): Indicate measures here  No measures in place  Not applicable - *Please provide an explanation:* | Choose an item. |
| Carry onboard valid documents issued by its competent authority containing the up-to-date information listed in paragraph 2 of CMM 07 (2022) of vessel registration and valid authorisation to fish and/or undertake fishing-related activities and keeps onboard valid documents issued by their competent authority containing up-to-date information listed in paragraph of CMM 07: Indicate measures here  No measures in place  Not applicable - *Please provide an explanation:*  If no such measures are in place, have you agreed for the Secretariat to make this information available upon request for the purposes of Control  Yes  No |

|  |  |
| --- | --- |
| **Para 4:** Vessel markings | Preliminary Self-Assessment |
| Are vessel(s) flying your flag and authorized to operate in the Agreement Area marked in such a way that they can be easily identified?  Yes  No  If yes, indicate measures to ensure that vessel(s) flying your flag and authorized to operate in the Agreement Area are marked in such a way that they can be easily identified:  Indicate measures here  Not applicable - *Please provide an explanation:* | Choose an item. |

## Marking of fixed gear

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| --- | --- |
| **Para 5:** Marking of Gears | Preliminary Self-Assessment |
| Are all vessel(s) flying your flag required to mark fixed gear in accordance with paragraph 5 of this CMM?  Yes  No  Not Applicable please provide an explanation:  If yes, indicate measures to ensure that fixed gears used by vessel(s) flying your flag are marked in accordance with paragraph 5 of this CMM: Indicate measures here | Choose an item. |

## Discard of Plastics

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| --- | --- |
| **Para 8 & 9**: Discard of plastics[[3]](#footnote-4) | Preliminary Self-Assessment |
| Indicate measures in place to ensure that vessel(s) flying your flag are required to store onboard all plastics, until they can be discarded at adequate port facilities, in accordance with paragraphs 8 and 9 of this CMM: Indicate measures here  No measures in place  Not Applicable please provide an explanation: | Choose an item. |

## Labelling of frozen products of fishery resources

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| --- | --- |
| **Para 10:** Labelling of frozen products of Fishery resources | Preliminary Self-Assessment |
| Indicate measures in place to ensure that vessel(s) fishing for fishery resources comply with the requirements of paragraph 10 of this CMM: Indicate measures here  No measures in place  Not Applicable please provide an explanation: | Choose an item. |

## Scientific Observer Programme

|  |  |
| --- | --- |
| **Para 11:** Qualification and Authorization of Scientific Observers | Preliminary Self-Assessment |
| Are scientific observers qualified and authorized to perform their tasks and record any requested data  Yes  If yes please elaborate on how this is implemented: *Indicate measures here:*  No  Not applicable - *Please provide an explanation:* | Choose an item. |

## Sightings and identifications of non-Contracting Party, non-CNCP and non-PFE vessel(s)

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| --- | --- |
| **Para 12:** Vessel Sightings | Preliminary Self-Assessment |
| Are vessel(s) required to report any presumed fishing as defined in the Agreement, including transhipment, by vessel(s) flying the flag of a State or fishing entity which is not a Party to or otherwise cooperating with the Agreement in the Agreement Area:  Yes  If yes, please indicate measures established to this end: *Indicate measures here:*  No  Not applicable - *Please provide an explanation:* | Choose an item. |

# Implementation of CMM 10 (2023) (Monitoring)

**National Binding Measures have not been updated since the last compliance assessment.** Please proceed to the next CMM

## Information on fishing activities

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| --- | --- |
| **Para 2 & 3:** Fishing logbook | Preliminary Self-Assessment |
| Are vessel(s) required to maintain a bound fishing logbook or an electronic fishing logbook containing the information relevant for compliance with the data collection submission requirements of CMM 02 with consecutively numbered page  Yes  If yes, please indicate measures established to this end: *Indicate measures here:*  No requirements for maintaining a logbook (bound or electronic)  Not applicable - *Please provide an explanation:* | Choose an item. |
| Indicate measures in place to ensure:   * Submission of logbook data within 30 days of the completion of fishing trip: * Logbook data are submitted in accordance with CMM 02 and maintained in accordance with CMM 03, and: * The quantities of catch recorded on vessel(s) (flying your flag) corresponds to the quantities of catch kept onboard   Indicate measures here  No measures in place  Not applicable - *Please provide an explanation:* | Choose an item. |

## Vessel Monitoring System

|  |  |
| --- | --- |
| **Paras 5-7, 9-11:** Requirements for vessel(s) to be fitted with an automatic location communicator (ALC) | Preliminary Self-Assessment |
| Indicate measures to ensure that vessel(s) flying your flag that are operating in the Agreement Area are fitted with an operational ALC, and reporting back to its competent authority: Indicate measures here  No measures in place  No vessel on the SIOFA RAV (Obligation not applicable) | Choose an item. |
| Indicate measures in place to ensure that ALC units on vessel(s) flying your flag remain operational at all times while in the agreement area: Indicate measures here  No measures in place  No vessel on the SIOFA RAV (Obligation not applicable) | Choose an item. |
| Have systems been developed, implemented, and improved to maintain a record of all vessel positions reported through VMS and logbooks?  Yes  If yes, please provide information on how this is implemented:  No | Choose an item. |
| Are VMS position reports transmitted at least once every two (2) hours from each fishing vessel flying your flag and included in the SIOFA RAV while operating in the Agreement Area  Yes  No  Are vessel(s) position reports transmitted in accordance with the standard established in paragraph 9 of this CMM?  Yes  No  Indicate measures in place to ensure that vessel(s) do not enter the Agreement Area and commence operations with a defective ALC: Indicate measures here  No Measures in place | Choose an item. |
| Indicate measures in place to ensure that vessel(s) follow procedures established by paragraph 10, in the event of a technical failure or non-operation of the ALC fitted onboard a vessel: Indicate measures here  No measures in place | Choose an item. |
| Indicate measures in place to ensure that the ALCs fitted onboard vessel(s) flying your flag are tamper resistant, i.e., located within a sealed unit and be protected by official seals (or mechanisms) of a type that will indicate whether the unit has been accessed or tampered with: Indicate measures here  No measures in place | Choose an item. |

## Entry / Exit Reports

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| --- | --- |
| **Para 15:** Entry / Exit Notifications | Preliminary Self-Assessment |
| Indicate measures in place to ensure that the secretariat is notified of each entry into and each exit from the Agreement Area by vessel(s) flying your flag, authorized to fish for species managed by SIOFA, in accordance with CMM 07:  Indicate measures here  No measures in place  Not applicable - *Please provide an explanation:* | Choose an item. |

## Interim Measures for at sea transhipments and transfers

|  |  |
| --- | --- |
| **Para 17:** Transhipment of Fishery resources subject to flag CCPs authorization. | Preliminary Self-Assessment |
| Indicate measures in place to ensure vessel(s) flying your flag conduct transhipments at sea of fishery resources, *only* after having received prior authorization from their competent authority  Indicate measures here  No measures in place  Not applicable - *Please provide an explanation:* | Choose an item. |

|  |  |
| --- | --- |
| **Para 18:** Prohibition of transhipment of SIOFA Fishery resources with vessels not included on the SIOFA RAV. | Preliminary Self-Assessment |
| Indicate measures in place to ensure vessel(s) flying your flag conduct transhipments at sea of fishery resources, with other vessel(s) on the SIOFA RAV:  Indicate measures here  No measures in place  Not applicable - *Please provide an explanation:* | Choose an item. |

|  |  |
| --- | --- |
| **Para 19:** Prohibition of transhipment of SIOFA Fishery resources with vessels included in the IUU vessels list of an RFMO or CCAMLR. | Preliminary Self-Assessment |
| Indicate measures in place to prohibit vessel(s) flying your flag from conducting transhipments at sea of fishery resources with any vessels included in the IUU vessels list established by an RFMO or CCAMLR: Indicate measures here  No measures in place  Not applicable - *Please provide an explanation:* | Choose an item. |

|  |  |
| --- | --- |
| **Para 20:** Procedures for undertaking Transhipment at sea | Preliminary Self-Assessment |
| Indicate measures in place to ensure vessel(s) flying your flag conducted transhipments following procedures established in paragraph 20 of CMM 10: Indicate measures here  No measures in place  Not applicable - *Please provide an explanation:* | Choose an item. |

|  |  |
| --- | --- |
| **Para 21:** Procedures for undertaking Transfers at sea | Preliminary Self-Assessment |
| Indicate measures in place to ensure vessel(s) flying your flag conducted any at-sea transfers following procedures established in paragraph 21 a-d: Indicate measures here  No measures in place  Not applicable - *Please provide an explanation:* | Choose an item. |

|  |  |
| --- | --- |
| **Para 23:** Information verification process | Preliminary Self-Assessment |
| Indicate measures in place to ensure that all information received in accordance with paragraphs 20 and 21 are verified for accuracy  Indicate measures here  Not applicable - *Please provide an explanation:* | Choose an item. |

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| --- | --- |
| Para 24: Receipt of fishery resources from more than one unloading vessel | Preliminary Self-Assessment |
| Indicate measures in place to ensure that vessel(s) flying your flag receiving fishery resources at sea:  stores the fishery resources and related documentation from each unloading vessel separately, allowing to distinguish from which unloading vessel each part of the fishery resources on board comes, including through the use of canvas or nets to separate fishery resources on board and/or appropriate labelling for boxes: Indicate measures here  maintains an up-to-date stowage plan and other documents showing the location and quantities of species received from each unloading vessel and retains these on board until the vessel has been unloaded completely: Indicate measures here  makes available the information referred to in sub-paragraph b. to its competent authority and to the relevant port State when required: Indicate measures here  Not applicable - *Please provide an explanation:* | Choose an item. |

## Monitoring of transhipments in ports

No vessel transhipped (unloaded and /or received) SIOFA fishery resources in port (Please skip to next CMM as applicable)

|  |  |
| --- | --- |
| **Para 26 – 28, 30: Procedures for undertaking transhipment in port**  Indicate measures in place to ensure vessel(s) flying your flag and carrying SIOFA fishery resources conducted transhipments in port in accordance with the following requirements: | Preliminary Self-Assessment |
| Ensuring that vessels tranship in a port only if it has prior authorisation from its competent authority and the port State:  Indicate measures here  No measures in place | Choose an item. |
| Prohibition to undertake transshipments in port of fishery resources with vessels included in the IUU vessel lists established by CCAMLR or any regional fisheries management organization  Indicate measures here  No measures in place | Choose an item. |
| Submission of notification, at least 24hrs prior to transhipment information required by paragraph 28, to the port state and the competent authority of the receiving vessel: Indicate measures here  No measures in place | Choose an item. |
| Submission of transhipment declaration (Annex IV) to the competent authority of the flag state, the port state and the receiving vessel within 24hrs of the transhipment: Indicate measures here  No measures in place | Choose an item. |

|  |  |
| --- | --- |
| **Para 32: Information verification process** | Preliminary Self-Assessment |
| Indicate measures in place to verify the accuracy of information received in accordance with paragraphs 28 -31: Indicate measures here  No measures in place | Choose an item. |

# Implementation of CMM 12 (2023) (Sharks)

**National Binding Measures have not been updated since the last compliance assessment.** Please proceed to the next CMM

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| --- |
| Did vessel(s) flying your flag engage in fishing operations in the SIOFA Agreement Area  Yes  No Please skip to the next CMM if vessel(s) flying your flag has not engage in fishing operations during the assessment period. No submissions are required in the Compliance Report Section for this CMM. |

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| --- | --- |
| **Para 2:** Prohibition on the targeting of sharks | Preliminary Self-Assessment |
| Indicate measures to prohibit the targeting of any deep-sea shark species listed in Annex 1 of this CMM, by vessel(s) flying your flag: Indicate measures here  No measures in place | Choose an item. |

|  |  |
| --- | --- |
| **Para 7:** Reporting requirements related to the catching of deep-sea shark species | Preliminary Self-Assessment |
| Indicate measure to ensure vessel(s) flying your flag records and submits all reporting requirements, as per CMM 02, for all deep-sea shark species to the lowest taxonomical level possible when engaged in fishing for fishery resources: Indicate measures here  No measures in place | Choose an item. |

# Implementation of CMM 13 (2022) (Mitigation of Seabird Bycatch)

## Application and geographic scope

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| --- |
| **Para 1:** Did vessel (s) flying your flag engage in fishing operations in the SIOFA agreement Area for Fishery Resources, as defined in Article 1(f) of the Agreement, south of 25°S. |
| ☐ Yes  ☐ No CMM not applicable. Please skip to the next CMM, if not applicable. No submissions are required in the Compliance Report Section for this CMM. |

**National Binding Measures have not been updated since the last compliance assessment.** Please proceed to the next CMM

## General provisions for demersal and pelagic longliners and other demersal fishing gears

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| --- | --- |
| **Para 2:** Application of mitigation measures to reduce incidents of seabird bycatch | Preliminary Self-Assessment |
| Indicate national binding measures to ensure that vessel(s) flying your flag using ***demersal or pelagic longlines or other demersal fishing gears***, apply all the mitigation measures provided in paragraph 2: Indicate measures here  No measures in place | Choose an item. |

## Provisions applying to demersal longliners

|  |  |
| --- | --- |
| **Para 3 & 4:** Application of mitigation measures to reduce incidents of seabird bycatch | Preliminary Self-Assessment |
| Indicate national binding measures to ensure that ***demersal longliners*** flying your flag, apply all the mitigations measures provided in paragraph 3 while operating south of 25°S: Indicate measures here  No measures in place  No demersal longliners flying your flag (Obligation Not Applicable) | Choose an item. |
| Indicate national binding measures to ensure that ***demersal longliners of less than 25m*** apply *at least one* of the mitigations measures prescribed in paragraph 4 while operating south of 25°S: Indicate measures here  No measures in place  No demersal longliners of less than 25m flying your flag (Obligation Not Applicable) | Choose an item. |

## Provisions applying to other demersal fishing gears

|  |  |
| --- | --- |
| **Para 5:** Application of mitigation measures to reduce incidents of seabird bycatch | Preliminary Self-Assessment |
| Indicate national binding measures to ensure that vessel(s) flying your flag using demersal pots or traps ensures the cleanliness of the traps and pots so as not to attract birds, and ensures that buoy lines are not left floating at the surface, while operating south of 25°S:  Indicate measures here  No measures in place  No vessels using pots or traps flying your flag (Obligation Not Applicable) | Choose an item. |

## Provisions applying to pelagic longliners

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| --- | --- |
| **Para 6:** Application of mitigation measures to reduce incidents of seabird bycatch | Preliminary Self-Assessment |
| Indicate measures to ensure that ***pelagic longliners*** flying your flag use at least two of the three mitigation measures outlined in Annex 3: Indicate measures here  No measures in place  No pelagic longliners flying your flag (Obligation Not Applicable) | Choose an item. |

# Implementation of CMM 14 (2021) (High Seas Boarding and Inspection Procedures)

**National Binding Measures have not been updated since the last compliance assessment.** Please proceed to the next CMM

## General Provisions

|  |  |
| --- | --- |
| **Paras 6:** General Provisions | Preliminary Self-Assessment |
| Are masters of fishing vessel(s) flying your flag required to accept and facilitate boarding and inspection pursuant to this measure  Yes  If yes, indicate measures in place to this end:  No  Not applicable - *Please provide an explanation:* | Choose an item. |

## Procedures

|  |  |
| --- | --- |
| **Para 19 – 26, 30-32:** Boarding and Inspection procedures by Authorized Inspectors | Preliminary Self-Assessment |
| Indicate measures in place to ensure that Authorized Inspectors comply with boarding procedures established in paragraphs 19 – 26 and 30-32 of this CMM: Indicate measures here  No measures in place  Not applicable - *Please provide an explanation:* | Choose an item. |

|  |  |
| --- | --- |
| **Para 27 – 29:** Responsibility of Master and Crew of the fishing vessel during boarding and Inspection | Preliminary Self-Assessment |
| Indicate measures in place to ensure that Master and Crew of the fishing vessel comply with boarding procedures established in paragraphs 27 – 29 of this CMM: Indicate measures here  No measures in place  Not applicable - *Please provide an explanation:* | Choose an item. |

## Enforcement

|  |  |
| --- | --- |
| **Para 43:** Interference by a fishing vessel or its master or crew with an Authorised Inspector or an Authorised Inspection Vessel. | Preliminary Self-Assessment |
| Indicate measures in place to ensure that you can effectively respond to any interference by a fishing vessel flying your flag, or its master or crew, with an Authorised Inspector, or an Authorised Inspection Vessel: Indicate measures here  No measures in place | Choose an item. |

# Implementation of CMM 15 (2023) (Management of Demersal Stocks)

**National Binding Measures have not been updated since the last compliance assessment.** Please proceed to the next CMM

## General Provisions

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| **Para 2:** Have vessel(s) flying your flag engaged in, or intend to engage in bottom fishing in the agreement area?  Yes  No (CMM Not Applicable. Please skip this CMM) |

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| --- | --- |
| **Para 12:** Prohibition on fishing in depths less than 500m | Preliminary Self-Assessment |
| Indicate measures implemented and applied to prohibit fishing with demersal longlines in depths shallower than 500m: Indicate measures here  No measures in place | Choose an item. |

## 1. Management & research measures for toothfish in the Del Cano Area

## Effort/catch and operational limits

No vessel fished for Dissostichus spp. in the Del Cano Rise area (Please skip to paragraph 35).

|  |  |
| --- | --- |
| **Para 16:** *Dissostichus spp.* | Preliminary Self-Assessment |
| Please indicate:  Your total quota for the season of 1 December 2023 to 30 November 2024 (after any transfers) for *Dissostichus* spp.*:* Click or tap here to enter text.  Annual catch of *Dissostichus* spp*.* for the Fishing Season of December 2023 to November 2024: Click or tap here to enter text.  Indicate measures to manage your available catch of *Dissostichus spp.*: Indicate measures here  No measures in place | Choose an item. |

|  |  |
| --- | --- |
| **Para 18:** Fishing Activity Reports | Preliminary Self-Assessment |
| Indicate measures to ensure that your fishing vessel(s) transmits their daily reports in accordance with paragraph 18: Indicate measures here  No measures in place | Choose an item. |



## VMS polling

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| --- | --- |
| **Para 25:** VMS location report interval period | Preliminary Self-Assessment |
| Indicate measures in place to ensure that your flagged vessel(s) fishing for *Dissostichus* spp.in the Del Cano Rise area reports VMS data automatically to their FMC at least every hour when they are present in the Del Cano area:  Indicate measures here  No measures in place | Choose an item. |

## Tagging and release

|  |  |
| --- | --- |
| **Para 27:** Tag and release | Preliminary Self-Assessment |
| Indicate measures to ensure that vessel(s) flying your flag tags and releases *Dissostichus* spp. specimens at a rate of at least 5 fish per tonne green weight caught, while applying a minimum overlap statistic of at least 60% for tag release, once 30 or more Dissostichus spp. have been caught:  Indicate measures here  No measures in place | Choose an item. |



## 2. Management & research measures for toothfish in Williams Ridge [FAO Subarea 57.4]

No vessel fished for *Dissostichus* spp*.* in Williams Ridge (Please proceed to next section of the CCR)



|  |  |
| --- | --- |
| **Para 35:** Daily catch report | Preliminary Self-Assessment |
| Indicate measures to ensure vessel(s) flying your flag reports all daily reports of their *Dissostichus* spp*.* to the secretariat, using Annex III for template, also informing the secretariat on start and end points of set long lines, using the template at Annex IV:  Indicate measures here  No measures in place | Choose an item. |

|  |  |
| --- | --- |
| **Para 37:** Grid Cell Entry Notification requirements | Preliminary Self-Assessment |
| Indicate measures in place to ensure that vessel(s) flying your flag informs the secretariat as soon as they enter a grid cell to fish for *Dissostichus* ssp., or send an advanced notification prior to entering a grid cell for fishing, outside of secretariat business hours.  Yes  If yes, please indicate measures to ensure compliance of vessel(s) flying your flag to this paragraph:  No measures in place | Choose an item. |

|  |  |
| --- | --- |
| **Para 38:** Notification Requirements | Preliminary Self-Assessment |
| Indicate if measures are in place to ensure that vessel(s) flying your flag ***does not***fish in a cell until the Secretariat confirms that two lines have not already been set in a grid during that season and that the grid was not being fished by another vessel.  Yes  If yes, please indicate measures to ensure compliance of vessel(s) flying your flag to this paragraph:  Please indicate number of vessel(s), if any, that have failed to comply with the above requirements of paragraph 39: Click or tap here to enter text.  No measures in place | Choose an item. |

|  |  |
| --- | --- |
| **Para 39:** Grid Cell Exit Notification Requirements | Preliminary Self-Assessment |
| Indicate if measures are in place to ensure that vessel(s) flying your flag informs the Secretariat as soon as they exit the grid cell as specified in paragraph. 39 while also informing the Secretariat of the number of lines, if any, they have set and/or hauled in that grid cell:  Yes  Please indicate number of vessel(s), if any, that have failed to comply with the above requirements of paragraph 39: Click or tap here to enter text.  No measures in place | Choose an item. |

|  |  |
| --- | --- |
| **Para 41:** Limits on number of lines set per season, per grid cells | Preliminary Self-Assessment |
| Indicate measures in place to ensure that there are no more than two lines in total that are set per grid cell for the duration of a fishing season of *Dissostichus* ssp.: Indicate measures here  No measures in place | Choose an item. |

|  |  |
| --- | --- |
| **Para 43:** Other effort limits | Preliminary Self-Assessment |
| Indicate measures in place to ensure that vessel(s) flying your flag, and fishing for *Dissostichus* ssp. apply a break of a minimum of 30 days between consecutive fishing trips to William Ridge: Indicate measures here  No measures in place | Choose an item. |

Report of Compliance with SIOFA Conservation and Management Measures

# Implementation of the Southern Indian Ocean Fisheries Agreement



|  |  |
| --- | --- |
| **Article 11.3. (c):** Submission of National Report concerning the previous calendar year that conforms to the requirements of paragraph 9 of CMM 02 | Preliminary Self-Assessment |
| Yes Date of Submission: Click or tap to enter a date.  No | Choose an item. |

# Implementation of CMM 01 (2023) (Interim Management of Bottom Fishing)

## General provisions and definitions

|  |
| --- |
| **Para 2:** Have vessel(s) flying your flag engaged in bottom fishing in the agreement area during the assessment Period?  Yes  No. Please skip to the next CMM if vessel(s) flying your flag has not engaged in bottom fishing during the assessment period |

## Duties of Contracting Parties, CNCPs, and PFEs undertaking bottom fishing activity in the Agreement Area

Please fill this table if you have fished more than 40 days in a single year in the Agreement Area at the time this CMM was first adopted (2016)

**Not Applicable**

|  |  |
| --- | --- |
| **Para 10(1)(a)**: Measures established and applied to limit the level and spatial extent of bottom fishing efforts of vessel(s) flying your flag | Preliminary Self-assessment |
| Catch and/or Effort limits established and applied  No catch and/or effort limits established and applied | Choose an item. |
| Constraints on the spatial distribution of bottom fishing effort established and applied.  No constraints on the spatial distribution of bottom fishing effort established and applied |
| Provisions established and applied to ensure bottom fishing does not significantly impact VMEs.  No provisions established and applied |
| Provisions established and applied to ensure that any vessel flying your flag is not authorised to fish in any areas that the Meeting of the Parties has decided to close to fishing  No provisions established and applied. |

Please fill this table if you have fished less than 40 days in a single year in the Agreement Area at the time this CMM was first adopted (2016)

**Not Applicable**

|  |  |
| --- | --- |
| **Para 10(1)(b)**: Measures established and applied to limit the level and spatial extent of bottom fishing efforts of vessel(s) flying your flag: | Preliminary Self-assessment |
| Limits on bottom fishing effort and/or catch and spatial distribution established and applied.  No limits on bottom fishing effort and/or catch, and spatial distribution established and applied. | Choose an item. |
| Provisions established and applied to ensure that bottom fishing does not significantly impact VMEs.  No provisions established and applied. |
| Provisions established and applied to ensure that any vessels flying your flag that are not authorised to fish in any areas that the Meeting of the Parties has decided to close to fishing.  No provisions established and applied. |

|  |  |
| --- | --- |
| **Para 10(1)(a/b)[[4]](#footnote-6)**: Measures established and applied to limit the level and spatial extent of bottom fishing efforts of vessel(s) flying your flag | Preliminary Self-assessment |
| Catch and/or Effort during the assessment period was within established limits  Catch and/or Effort exceeded established limits | Choose an item. |
| Fishing effort occurred within the established constraints on the spatial distribution of bottom fishing effort.  Fishing effort occurred outside the established constraints on the spatial distribution of bottom fishing effort. |
| Bottom fishing during the assessment period did not significantly impact VMEs.  Bottom fishing during the assessment period had significant adverse impacts on VMEs. |
| Vessel (s) flying your flag did not fish in any areas that the Meeting of the Parties has decided to close to fishing. [[5]](#footnote-7)  Vessel flying your flag fished in any areas that the Meeting of the Parties has decided to close to fishing.[[6]](#footnote-8) |

|  |  |
| --- | --- |
| **Para 10(3):** Secretariat notified within 30 days of any revisions or amendments to measures established in accordance with the requirements of paragraph 10(1)  Not applicable if no revisions were made to established and applied measures | Preliminary Self-Assessment |
| Yes  No  Not applicable - *Please provide an explanation:* | Choose an item. |

|  |  |
| --- | --- |
| **Para 11:** Vessel Authorization for bottom fishing | Preliminary Self-Assessment |
| *For CCPs that have not submitted measures required pursuant to Paragraph 10 (1)* did you authorise any vessel(s) flying your flag to bottom fish in the agreement area  Yes  If yes, please indicate the number of vessel(s) Authorized: Click or tap here to enter text.  No  Not applicable - *Please provide an explanation:* | Choose an item. |

## *Vulnerable Marine Ecosystems*



|  |  |
| --- | --- |
| **Para 12:** Threshold level for VMEs applied to flagged vessel(s) as prescribed by paragraph 12 of this CMM | Preliminary Self-Assessment |
| Did you apply to vessels flying your flag threshold levels for encounters with VMEs, as prescribed in paragraph 12?  Yes  No | Choose an item. |



|  |  |
| --- | --- |
| **Para 13:** Requirements established to cease bottom fishing upon evidence of VME encounter | Preliminary Self-Assessment |
| Did any vessel flying your flag encounter evidence of a VME, above the the threshold levels established under paragraph 12 of this CMM during the course of fishing operations?  Yes  No  If yes, did these vessels cease bottom fishing after such encounters  Yes  No  Did you report any such encounter in your National Report to the Scientific Committee, following guidelines established in Annex 2 of this CMM  Yes  No | Choose an item. |

## *Provision of data by, and interim bottom fishing measures for, new CCPs*

Did you become a CCP after the Meeting of the Parties in 2017

Yes Complete Paragraph 27

No Obligation not Applicable, proceed to next paragraph

**OR**

Paragraph 27 Not Applicable *–* Pease provide Explanation:

|  |  |
| --- | --- |
| **Para 27:** Requirements related to States or fishing entities that became CCP after the Meeting of Parties in 2017 | Preliminary Self-Assessment |
| Meeting of the Parties has been advised of measures the CCP intends to take under paragraph s 10 (1) a i., ii., iii., and iv.  Meeting of Parties the has ***not*** been advised of measures the CCP intends to take pursuant to paragraphs 10 (1) a i., ii., iii., and iv. | Choose an item. |
| Data and BFIA submitted to SC as *required* by paragraphs 20 and 21, respectively.  Data (bottom fishing effort and VMEs) and BFIA **not** submitted to SC |

## Scientific observer coverage

|  |  |
| --- | --- |
| **Para 39 & 45 (b):** Scientific Observer Coverage | Preliminary Self-Assessment |
| Indicate observer level (in percentage) for:  Vessel(s) using trawl gears: Click or tap here to enter text.  Vessel(s) using any other fishing gears: Click or tap here to enter text. | Choose an item. |
| Vessel(s) that operated in Interim Protected Areas (IPA) on Annex 3: Click or tap here to enter text. | Choose an item. |

## Interim Protected Areas (IPA)



|  |  |
| --- | --- |
| **Para 45 (a):** Prohibition of bottom fishing, excluding line and trap methods in IPA designated in Annex 3 | Preliminary Self-Assessment |
| Did vessels(s) flying your flag engaged in bottom fishing in the IPA  Yes  No | Choose an item. |

# Implementation of CMM 02 (2023) (Data Standards)

## Application

|  |
| --- |
| Have any vessels flying your flag been engaged in fishing[[7]](#footnote-13) in the Agreement Area during the assessment period? |
| YES  NO  lf no, please skip paragraphs 4 to 8 and move directly to subsequent questions. |

## Vessel Catch and Effort Data



|  |  |
| --- | --- |
| **Para 4 & 5:** Collection of Data | Preliminary Self-Assessment |
| Did you collect data on fishing activities, including for target, non-target and associated and dependent species such as marine mammals, marine reptiles, seabirds or 'other species of concern'  Yes  No  If yes, were those data collected in accordance with the relevant sections of Annex A of this CMM?  Yes  No | Choose an item. |
| Were vessel catch and effort data collected on a haul-by-haul basis or for hand line fishing on an operational basis?  Yes  No | Choose an item. |

|  |  |  |
| --- | --- | --- |
| **Para 6,7 and 19:** Data Submission | |  |
| Did you submit all data on fishing activities, and catch and effort data by the 31st May, in accordance with formats prescribed in corresponding annexes  Yes  No | | Choose an item. |
| Did you submit by the 31st May your annual catch summary for all species/ groups caught during the previous calendar year  Yes  No | | Choose an item. |
| Did you submit all data in accordance with the formats described in this CMM, including its annexes.  Yes  No | | Choose an item. |



|  |  |
| --- | --- |
| **Para 8:** On-board FAO identification guide to the deep-sea cartilaginous fishes of the IO | Preliminary Self-Assessment |
| For vessels that engaged in, or intended to engage in, fishing pursuant to CMM 01 (2023), are these vessels required to carry the FAO Identification guide to the deep–sea cartilaginous fishes of the Indian Ocean?  Yes  No  No vessel engaged in or intended to engage in fishing under CMM 01 (2023) (Obligation Not Applicable) | Choose an item. |

## National Report

|  |  |
| --- | --- |
| **Para 9, 14, and 18 (c):** Annual National Report of fishing research and management activity to SC | Preliminary Self-Assessment |
| Report Submitted  Report included a*nnual observer program implementation report.[[8]](#footnote-15)*  Report included *annual data verification report.[[9]](#footnote-16)*  No report submitted to the Scientific Committee | Choose an item. |

## Scientific Observer Data

|  |  |
| --- | --- |
| **Para 12 & 15:** Scientific Observer Program | Preliminary Self-Assessment |
| Was there a Scientific Observer Program Implemented, during the assessment period?  Yes  No | Choose an item. |
| If yes, were the data collected in accordance with relevant sections of Annex B  Yes  No | Choose an item. |

## Data Verification

|  |  |
| --- | --- |
| **Para 18:** Data verification mechanism | Preliminary Self-Assessment |
| Do you have data verification mechanism in place  Yes  If yes , indicate the types of data verification mechanisms in place (Tick as appropriate)  Position verification through VMS  Independent Monitoring (scientific observer and/ or approved EMS program)  Vessel trip, landing, and transhipment reports  Port Sampling  Others (Please elaborate):  No data verification mechanism in place  Data verification provision not applicable (No Vessel on the RAV) | Choose an item. |

# Implementation of CMM 04 (2016) (Vessels Without Nationality)

|  |  |
| --- | --- |
| **Para 5:** Report of sightings of fishing vessel(s) without nationality | Preliminary Self-Assessment |
| Did vessel(s) flying your flag reported sightings of fishing vessels suspected or confirmed to be to be without nationality, that may be fishing in the Agreement Area?  Yes  No sightings reported by vessels (Obligation Not Applicable)  If yes, where these reports sent to the Secretariat  Yes. Number of reports submitted: Click or tap here to enter text.  No reports sent to Secretariat | Choose an item. |

# Implementation of CMM 05 (2016) (Pelagic Driftnets and Deepwater Gillnets)



|  |  |
| --- | --- |
| **Para 1:** Prohibition of largescale pelagic driftnets in Agreement Area | Preliminary Self-Assessment |
| Did any vessel(s) flying your flag use large-scale driftnets in the Agreement Area during the assessment period:  Yes  No | Choose an item. |

# Implementation of CMM 06 (2022) (IUU Vessels List)

## Transmission of information to establish the Draft IUU Vessel List

|  |  |
| --- | --- |
| **Para 2 & 3:** Transmission of Information on vessel presumed to have engaged in IUU fishing in the Agreement Area | Preliminary Self-Assessment |
| Did you have any information on vessel presumed to have engaged in IUU fishing?  Yes  No  If yes, please indicate if:  Report (s) were submitted to Secretariat  Yes  No  Copy of Information provided to flag state at or prior to reporting to Secretariat  Yes  No | Choose an item. |



## Designation of National Contact Points

|  |  |
| --- | --- |
| **Para 31**: Designation of National Contact Points | Preliminary Self-Assessment |
| Have you designated National contact points for the purposes of Paragraph 31 of this CMM?  Yes  No | Choose an item. |

# Implementation of CMM 07 (2022) (Vessel Authorization)

|  |  |
| --- | --- |
| **Para 1:** Vessel Authorization | Preliminary Self-Assessment |
| Did any vessel(s) flying your flag ***not*** included on the SIOFA Record of Authorized Vessels (RAV) fish, retain onboard, tranship, or land fishery resources in the Agreement Area?  Yes  If yes, please elaborate as necessary (Including name, date and time, species by quantity, and any other relevant information):  No | Choose an item. |



|  |  |
| --- | --- |
| **Para 4:** Notification of Modification regarding Vessel Data | Preliminary Self-Assessment |
| Were there any modifications to data of vessels flying your flag authorised to fish in the agreement Area?  Yes  If yes, was the secretariat informed within 15 days of such modification.  Yes  No:  No modifications to vessel data | Choose an item. |



|  |  |
| --- | --- |
| **Para 6:** Vessel authorizations to operate in the Agreement Area | Preliminary Self-Assessment |
| Please indicate as appropriate:  Vessel(s) were authorized to operate in the Agreement Area, ***only*** if they were able to fulfil the requirements and responsibilities under the agreement and all relevant SIOFA CMMs  Vessel(s) flying your flag have complied with all relevant SIOFA CMMs during the assessment period.  All vessel(s) flying your flag that is on the SIOFA RAV keep onboard valid certificates of vessel registration, and valid authorization to fish and/or to undertake fishing-related activities  Vessel(s) flying your flag and on the SIOFA RAV have no history of IUU fishing, or, if those vessel(s) have such history, the new owners had provided sufficient evidence demonstrating that the previous owners and operators have no legal, beneficial or financial interest in or control over those vessel(s), or that having taken into account all relevant facts, your vessel(s) are not engaged in or associated with IUU fishing | Choose an item. |

|  |  |
| --- | --- |
| **Para 7:** Vessel Authorization | Preliminary Self-Assessment |
| Are there measures in place under your national legislation to prohibit the fishing and fishing-related activities on fishery resources covered by the Agreement by vessels that are not registered in the SIOFA RAV.  Yes  No | Choose an item. |

|  |  |
| --- | --- |
| Para 8: Notice to Secretariat of Fishing Activity by unregistered Vessel | Preliminary Self-Assessment |
| Did you have evidence showing that there were reasonable grounds for suspecting vessel(s) ***not*** registered on the SIOFA RAV had operated in the Agreement Area  Yes If yes, has the Secretariat been notified as required by this paragraph  Yes  No  No | Choose an item. |

# Implementation of CMM 08 (2020) (Port Inspection)

## Application

|  |
| --- |
| **Para 31:** Are you a coastal state and a port state with areas of national jurisdiction adjacent to the Agreement Area? |
| Yes. Please fill this section of the CCR template as applicable  No Please skip paragraphs 2 – 25 and complete subsequent questions. |

## Designation of ports

|  |  |
| --- | --- |
| **Para 2:** Designation of ports | Preliminary Self-Assessment |
| Have you designated, publicized, and notified of the Ports where foreign vessel(s) may request entry :  Yes  No  Were there changes in the designated ports  Yes  No  If yes, was the Secretariat notified to the secretariat *at least* 30 days before the changes took effect:  Yes. If yes, please indicate  No | Choose an item. |



|  |  |
| --- | --- |
| **Para 5:** Notification Period | Preliminary Self-Assessment |
| Were foreign vessel(s) calling into your ports required to submit information specified in Annex I of this CMM (at minimum), *at least* 48hrs before the estimated time of arrival.  Yes  No  If you have a notification period other than 48hrs, have the secretariat been informed without delay:  Yes  No  No alternate notification period (Obligation Not Applicable)  Have changes of notification period prior to the estimated time of arrival been notified to the secretariat *at least* 30 days before the changes took effect:  Yes  No  No changes notification period prior to the estimated time of arrival during the assessment period (Obligation Not Applicable) | Choose an item. |

## Port entry, authorization or denial of foreign vessels / Use of ports by foreign vessels

|  |  |
| --- | --- |
| **Paras 8, 9, 11, 12 & 14:** Denial of entry and/or use of port | Preliminary Self-Assessment |
| Have any vessels been denied entry into the designated port(s)  Yes  No  If yes, please indicate number of Vessel(s) denied entry into port:  Click or tap here to enter text.  Were the flag state and Secretariat notified of decision(s) to deny port entry to these vessels  Yes  No | Choose an item. |
| Have any vessels been denied use of port(s) for landing, transhipment, packaging and processing of fisheries resources and other port services  Yes  No  If yes, please indicate number of Vessel(s) denied use of port(s):  Click or tap here to enter text.  Were the flag state and the Secretariat notified of decisions to deny use of port  Yes  No | Choose an item. |

## Inspections

|  |  |
| --- | --- |
| **Paras 17, 19,21, 22, 23, 24 & 25:** Inspections | Preliminary Self-Assessment |
| Are all Port Inspectors duly authorized, trained and familiar with the Agreement and all of its relevant CMMs  Yes  No | Choose an item. |
| Were all inspections carried out, at a minimum, following the procedures set out in Annex III  Yes  No | Choose an item. |
| Were inspections carried out following international law, conducted within 72hrs of port entry, and carried out in an expeditious fashion  Yes  No | Choose an item. |
| *Dissostichus ssp*  Did you carry out inspections on all fishing vessel(s) carrying or landing *Dissotichus spp*, that entered your port(s) carrying or landing *Dissostichus ssp*  Yes  No  No vessels carrying or landing *Dissostichus ssp.* called into your ports(s) (Obligation Not Applicable)  If yes please indicate:  Number of vessels carrying or landing *Dissostichus ssp* that called into your port: Click or tap here to enter text.  Number of vessel(s) carrying or landing *Dissostichus ssp.* inspected: Click or tap here to enter text. | Choose an item. |
| Did you receive requests from another CCP, RFMO or CCAMLR pursuant to paragraph 23 (a) of this CMM  Yes If yes, please indicate  Number of requests received: Click or tap here to enter text.  Number of vessels inspected pursuant to such requests: Click or tap here to enter text.  No requests received from another CCP, RFMO or CCAMLR pursuant to paragraph 23 (a) (Obligation Not Applicable) | Choose an item. |
| Did any vessel fail to provide the information required by paragraph 5  Yes If yes, please indicate  Number of such vessel(s): Click or tap here to enter text.  Number of such vessel(s) inspected: Click or tap here to enter text.  No vessel(s) failed to provide the information required in paragraph 5 (Obligation Not Applicable) | Choose an item. |
| Did all inspection reports contained, at least, the information set out in Annex IV:  Yes  No  No SIOFA vessels called into designated ports (obligation not applicable) | Choose an item. |
| Where compliance issues warranted the attention of the competent authority, were these inspection reports forwarded to the competent authority and the Secretariat *within* 30 days, following completion of such inspection, or as soon as possible  Yes  No  Not Applicable  *If any reports could not be submitted within the specified time period,* was the Secretariat within that same time period informed of the potential delay, including reasons for such, and when the report will be submitted:  Yes If yes, indicate the number of reports submitted after the specified time period: Click or tap here to enter text.  No  All reports were submitted within the specified time period (Obligation Not Applicable) | Choose an item. |

## Role of Contracting Parties, CNCPs and PFEs in relation to vessel(s) flying their flags

|  |  |
| --- | --- |
| **Para 26** Flag state obligations | Preliminary Self-Assessment |
| Did vessel(s) flying your flag cooperate with all port state inspection(s) carried out by a CCP other than its flag state  Yes  No | Choose an item. |

|  |  |
| --- | --- |
| **Para 27 - 30:** Flag state obligations | Preliminary Self-Assessment |
| Have there been any grounds to suspect any vessel(s) flying your flag have engaged in IUU fishing?  Yes  If yes, please indicate if you requested a CCP where the vessel sought entry or was in the port, to carry out inspection (s) on such vessel(s) or to take other adequate measures.  Yes  No  Obligation Not Applicable. Please provide explanation: | Choose an item. |
| Following such inspections, and where there were clear grounds that a vessel flying your flag has engaged in IUU fishing, was the matter fully investigated  Yes If yes please elaborate on enforcement actions taken against such vessel:  No  No vessels found to have conducted IUU fishing after such inspections (Obligation Not Applicable, skip to next CMM) | Choose an item. |
| Was the secretariat duly informed on any action taken against such vessel(s)  Yes  No | Choose an item. |
| Did you provide a report to the Compliance Committee of actions taken under paragraph 27 of this CMM?  Yes  No | Choose an item. |

# Implementation of CMM 09 (2022) (Control)

## Co-operation and Contact Points

|  |  |
| --- | --- |
| **Para 2:** Designation of Contact Points | Preliminary Self-Assessment |
| Have you designated the competent authority(ies) which shall act as the Contact Point for the purpose of receiving reports and notifications and issuing authorizations in accordance with the relevant SIOFA CMM, 30 days after the adoption of this CMM  Yes  No  Have you notified the Secretariat of any subsequent changes to the list, without delay?  Yes  No  No changes to the list (Obligation Not Applicable) | Choose an item. |

## Vessel Requirements



|  |  |
| --- | --- |
| **Para 3:** Onboard Documents | Preliminary Self-Assessment |
| Did vessel(s) flying your flag carry onboard the current valid documents issued by its competent authority that are contained in paragraph 6(c) of CMM 07 (2022)  Yes  No | Choose an item. |
| Did vessel(s) flying your flag keep onboard valid documents issued by their competent authority containing up-to-date information listed in paragraph 2 of CMM 07  Yes  No  If vessels are not required to keep onboard the above-mentioned documents (paragraph 3 (b) of this CMM), have you agreed for the Secretariat to make this information available upon request for the purposes of Control?  Yes  No |  |



|  |  |
| --- | --- |
| **Para 4:** Vessel markings | Preliminary Self-Assessment |
| Were all vessel(s) flying your flag and authorized to operate in the Agreement Area marked in such a way that they can be easily identified:  Yes  No  No vessels authorized to operate in the Agreement Area (Obligation Not Applicable) | Choose an item. |

## Marking of fixed gear

|  |  |
| --- | --- |
| **Para 5 & 6:** Marking of Gears | Preliminary Self-Assessment |
| Are all fixed gears used by vessel(s) flying your flag marked in accordance with paragraph 5 of this CMM  ☐ Yes  ☐ No  ☐No vessels used fixed gears in the Agreement Area (Obligation Not Applicable) | Choose an item. |
| Has the secretariat been notified of the information regarding the marking of fixed gear?  Yes  No | Choose an item. |



## Retrieval of abandoned, lost or otherwise discarded fishing gear

|  |  |
| --- | --- |
| **Para 7:** Retrieval of abandoned, lost or otherwise discarded fishing gear | Preliminary Self-Assessment |
| Number of abandoned/lost gears by vessel(s) flying your flag: Click or tap here to enter text.  Number of ALDFG retrieved by vessel(s) flying your flag: Click or tap here to enter text.  Did vessel (s) flying your flag abandon lost gears only after having made every reasonable attempt to retrieve it as soon as possible  Yes  No  Not Applicable  Except for safety reasons, notably vessels in distress and/or life in danger, did vessel(s) flying your flag deliberately abandon fishing gears  Yes  No  Not Applicable  Did vessels flying your flag promptly notify their competent authority when gears could not be retrieved(with the information specified in paragraph 7 d)  Yes  No  Not Applicable  Did vessels flying your flag promptly notify their competent authority following retrieval of any ALDFG(with the information specified in paragraph 7 e)  Yes  No  Not Applicable  Was the Secretariat promptly notified of information referredto in sub-paragraphs (d) and (e).  Yes  No  Obligation not applicable | Choose an item. |

## Discard of Plastics



|  |  |
| --- | --- |
| **Para 8 & 9**: Discard of plastics | Preliminary Self-Assessment |
| Did vessel(s) flying your flag store onboard all plastics, until they can be discarded at adequate port facilities, in accordance with paragraphs 8 and 9 of this CMM  Yes  No | Choose an item. |

## Labelling of frozen products of fishery resources



|  |  |
| --- | --- |
| **Para 10:** Labelling of frozen products of fishery resources | Preliminary Self-Assessment |
| Did vessels fishing for fishery resources comply with the requirements of paragraph 10 of this CMM, with regard to the packing and labelling of frozen fishery products:  Yes  No  No vessels fishing for fishery resources, or no fishery products kept frozen by fishing vessels *(Obligation Not Applicable)* | Choose an item. |

## Scientific Observer Programme



|  |  |
| --- | --- |
| **Para 11:** Qualification and Authorization of Scientific Observers | Preliminary Self-Assessment |
| Were all Scientific observers deployed during the assessment period, qualified and authorized to perform their tasks and record any requested data  Yes  No  No Scientific Observer programs (Obligation Not Applicable) | Choose an item. |

## Sightings and identifications of non-Contracting Party, non-CNCP and non-PFE vessel(s)



|  |  |
| --- | --- |
| **Para 12:** Vessel Sightings | Preliminary Self-Assessment |
| Are vessel(s) required to report any presumed fishing as defined in the Agreement, including transhipment, by vessel(s) flying the flag of a State or fishing entity which is not a Party to or otherwise cooperating with the Agreement in the Agreement Area,  Yes  No | Choose an item. |

|  |  |
| --- | --- |
| **Para 13:** Reports of fishing, including transhipments by vessel not flying the flag of a CCP | Preliminary Self-Assessment |
| Were there any reported sightings of fishing, including transhipments by vessel not flying the flag of a CCP  Yes  No  If yes, where these reports submitted to the Secretariat as soon as practical  Yes  No  Please indicate:  Number of sightings reported by vessel(s) flying your flag: Click or tap here to enter text.  Number of such sightings reported to the Secretariat: Click or tap here to enter text. | Choose an item. |

# Implementation of CMM 10 (2023) (Monitoring)

Please select and proceed to paragraph 4 if vessel(s) flying your flag does not undertake fishing in the Agreement Area

## Information on fishing activities



|  |  |
| --- | --- |
| **Para 2 & 3:** Fishing logbook | Preliminary Self-Assessment |
| Did vessel(s) flying your flag maintain a bound fishing logbook or an electronic fishing logbook, containing the information relevant for compliance with the data collection and submission requirements of CMM 02, with consecutively numbered pages  Yes  No | Choose an item. |
| Did vessel(s) flying your flag:  Submit logbook data within 30 days of the completion of fishing trip:  Yes  No  Submit logbook data in accordance with CMM 02 and maintain in accordance with CMM 03  Yes  No  Did the quantities of catch recorded on vessel(s) flying your flag correspond to the quantities of catch kept onboard  Yes  No | Choose an item. |

|  |  |
| --- | --- |
| **Para 4:** Obligation to cooperate | Preliminary Self-Assessment |
| Did you receive any request from a CCP for information contained in fishing logbooks from the preceding 12 months  Yes  No  If yes, did you cooperate with such requests  Yes  No | Choose an item. |

## Vessel Monitoring System



|  |  |
| --- | --- |
| **Paras 5-7, 9-11:** Requirements for vessel(s) to be fitted with an automatic location communicator (ALC) | Preliminary Self-Assessment |
| Were all vessels flying your flag that operated in the Agreement Area, fitted with an operational ALC that reported back to its competent authority  Yes  No  No vessel on the SIOFA RAV (Obligation not applicable) | Choose an item. |
| Did ALC units on vessel(s) flying your flag remain operational at all times while in the agreement area  Yes  No  No vessel on the SIOFA RAV (Obligation not applicable) | Choose an item. |
| Have systems been developed, implemented, and improved to maintain a record of all vessel positions reported through VMS and logbooks?  Yes  No | Choose an item. |
| Were VMS position reports transmitted at least once every 2 hours from each fishing vessel flying your flag and included in the SIOFA RAV while operating in the Agreement Area  Yes  No  Were vessel(s) position reports transmitted in accordance with standards established in Paragraph 9 of this CMM?  Yes  No  Did any vessel(s) flying your enter the Agreement Area and commence operations with a defective ALC  Yes  No | Choose an item. |
| Did vessel(s) follow procedures established by paragraph 10, in the event of a technical failure or non-operation of the ALC fitted onboard a vessel  Yes  No | Choose an item. |
| Are ALCs fitted onboard vessel(s) flying your flag tamper resistant, i.e., located within a sealed unit and be protected by official seals (or mechanisms) of a type that will indicate whether the unit has been accessed or tampered with.  Yes  No | Choose an item. |

## Entry / Exit Reports



|  |  |
| --- | --- |
| **Para 15:** Entry / Exit Notifications | Preliminary Self-Assessment |
| Was the Secretariat notified of all entry into and each exit from the Agreement Area by vessel(s) flying your flag, authorized to fish for species managed by SIOFA  Yes  No  Not applicable - *Please provide an explanation:* | Choose an item. |

## Interim Measures for at sea transhipments and transfers

|  |  |
| --- | --- |
| **Para 17:** Transhipment of Fishery resources subject to flag CCPs authorization. | Preliminary Self-Assessment |
| Did vessel(s) flying your flag conduct transhipments at sea of fishery resources, *only* after having received prior authorization from their competent authority  Yes  No  Not applicable - *Please provide an explanation:* | Choose an item. |



|  |  |
| --- | --- |
| **Para 18:** Prohibition of transhipment of SIOFA Fishery resources with vessels not included on the SIOFA RAV. | Preliminary Self-Assessment |
| Did vessel(s) flying your flag conduct transhipments at sea of fishery resources, *only* with other vessel(s) included on the SIOFA RAV  Yes  No  Not applicable - *Please provide an explanation:* | Choose an item. |



|  |  |
| --- | --- |
| **Para 19:** Prohibition of transhipment of SIOFA Fishery resources with vessels included in the IUU vessels list of an RFMO or CCAMLR. | Preliminary Self-Assessment |
| Did vessel(s) flying your flag conduct transhipments at sea of fishery resources with any vessels included in the IUU vessels list of an RFMO or CCAMLR  Yes  No  Not applicable - *Please provide an explanation:* | Choose an item. |

|  |  |
| --- | --- |
| **Para 20:** Procedures for undertaking Transhipment at sea | Preliminary Self-Assessment |
| For each vessel flying your flag that undertook an at sea transhipment operation, did such vessel(s);  Notify its competent authority at least 7 days in advance of a 14 day period during which the at sea transhipment is scheduled to occur  Notify its competent authority 24 hours in advance of the estimated tome during which the at sea transhipment occur  Did the notifications above include the relevant information available regarding the at sea transhipment, in accordance with Transhipment Notification Annex II of this CMM  Yes  No  Did the competent authority of such vessels, transmit all notifications received pursuant to sub-paragraph a. and b. to the Secretariat within 7 days  Yes  No  Were impartial and qualified observers (or electronic monitoring) deployed on board every receiving vessel(s) to monitor transhipments  Yes  No  Did assigned observer(s) collect and record the information of each transhipment operation as indicated in the Transhipment Logsheet in annex III of this CMM  Yes  No  Did masters provide the observer onboard access to all operational areas of the vessel necessary to complete their duties, including the fish holds, production areas, crew, nets or other gear, equipment, and any other document relates with the catch retained onboard  Yes  No  Did observer(s) provide copy(ies) of information collected as indicted in the Transhipment Logsheet, to the competent authority of the observed vessel  Yes  No  Did the competent authority submit all observer data referred to in sub-paragraph f. to the Secretariat, no later than 15 days after debarkation of observer(s)  Yes  No  Did the vessel (s) notify all operational details to its competent, using the Transhipment Declaration in Annex IV, within 24 hours following the transhipment  Yes  No  Did the competent Authority of the vessel transmit the Transmission Declaration referred to in sub-paragraph j. to the Secretariat within 30 days  Yes  No  Paragraph 20 not applicable - *Please provide an explanation:* | Choose an item. |



|  |  |
| --- | --- |
| **Para 21:** Procedures for undertaking Transfers at sea | Preliminary Self-Assessment |
| For each vessel flying your flag that undertook an at sea transfer operation, did such vessel(s);:  Notify its competent authority at least 24 hours in advance of the planned transfer  Did the notification(s) include the relevant information available regarding the transfer in accordance with Transfer Notification Annex V  Yes  No  Did the competent authority transmits the notification to the Secretariat without delay  Yes  No  Did the vessel notify all of the operational details to its competent authority, as specified in Transfer Declaration Annex VI, within 24 hours following the transfer  Yes  No.  Not applicable – *Please provide an explanation:* | Choose an item. |

|  |  |
| --- | --- |
| **Para 23:** Information verification process | Preliminary Self-Assessment |
| Did you verify accuracy of information received in accordance with paragraphs 20 and 21?  Yes  No  Not applicable – *Please provide an explanation:*  Did you take appropriate follow-up actions in the event that an observer reported discrepancies in the data reported by the masters of the unloading or receiving vessels or in the event of possible non-compliance with SIOFA CMMs, including coordination with the Port State where the receiving vessel is to unload, if it considers that an inspection of the unload is necessary  Yes  No  Not applicable – *Please provide an explanation:* | Choose an item. |

|  |  |
| --- | --- |
| Para 24: Receipt of fishery resources from more than one unloading vessel | Preliminary Self-Assessment |
| Did vessel(s) flying your flag receive fishery resources a sea from more than one unloading vessel  Yes  No  If yes, did such vessel(s);  store the fishery resources and related documentation from each unloading vessel separately, allowing to distinguish from which unloading vessel each part of the fishery resources on board comes, including through the use of canvas or nets to separate fishery resources on board and/or appropriate labelling for boxes.  maintain an up-to-date stowage plan and other documents showing the location and quantities of species received from each unloading vessel and retains these on board until the vessel has been unloaded completely.  make available the information referred to in sub-paragraph b. to its competent authority and to the relevant port State when required. | Choose an item. |

## Monitoring of transhipments in ports

No vessel transhipped (unloaded and /or received) SIOFA fishery resources in port (Please skip to next applicable paragraph, or next CMM as applicable)



|  |  |
| --- | --- |
| **Para 26 – 28, 30: Procedures for undertaking transhipment in port**  Did vessel(s) flying your flag and carrying SIOFA fishery resource conduct transhipments in port, in accordance with the following procedures: | Preliminary Self-Assessment |
| Transhipped in a port only if it had prior authorisation from its competent authority and the port State  Yes  No | Choose an item. |
| Did not undertake transhipment in port of fishery resources with vessels included in the IUU vessels list established by an RFMO or CCAMLR  Yes  No | Choose an item. |
| Submission of notification, at least 24hrs prior to transhipment information required by paragraph 28, to the port state and to the competent authority of the receiving vessel  Yes  No | Choose an item. |
| Submission of transhipment declaration (Annex IV) to the competent authority of the flag state, the port state and the receiving vessel within 24hrs of the transhipment  Yes  No | Choose an item. |



|  |  |
| --- | --- |
| **Para 32: Information verification process** | Preliminary Self-Assessment |
| Did you verify the accuracy of information all information received in accordance with paragraphs 28 -31?  Yes  No  Not applicable - *Please provide an explanation:*  Did you take appropriate follow-up actions in the event of discrepancies in the data reported by the masters of the unloading or receiving vessels or in the event of possible non-compliance with SIOFA CMM  Yes  No  Not applicable - *Please provide an explanation:* | Choose an item. |

|  |  |
| --- | --- |
| **Para 33: Report on transhipments and at sea transfers** | Preliminary Self-Assessment |
| Did you complete and submit the information required under paragraph 33 (a) – (e), as applicable?  Yes  No  No vessel transhipped SIOFA fishery resources at sea and / or conducted at sea transfer | Choose an item. |

# Implementation of CMM 11 (2020) (Compliance Monitoring Scheme)

|  |  |
| --- | --- |
| **Para 12:** Completion and Submission of this CCR Template | Preliminary Self-Assessment |
| Did you complete and submit your CCR template before the deadline, i.e. no later than 60 days before the commencement of the Meeting of Parties  Yes  No | Choose an item. |

# Implementation of CMM 12 (2023) (Sharks)

|  |
| --- |
| Did vessel(s) flying your flag engage in fishing operations in the SIOFA Agreement Area  Yes  No Please skip to the next CMM if vessel(s) flying your flag has not engage in fishing operations during the assessment period |



|  |  |
| --- | --- |
| **Para 2:** Prohibition on the targeting of sharks | Preliminary Self-Assessment |
| Did vessel (s) flying your flag target deep-sea shark species listed in Annex 1 of this CMM  Yes  No | Choose an item. |

|  |  |
| --- | --- |
| **Para 5:** Reporting of Portuguese dogfish catch in subarea 2 | Preliminary Self-Assessment |
| Did your vessel catch Portuguese dogfish in Subarea 2?  Yes.  If yes, were catch reports submitted monthly or weekly as applicable, to the Secretariat?  Yes  No  **Were the submitted reports submitted within the stipulated timelines, i.e. by the twentieth day of the following month for monthly reports and/or by close of business Wednesday for the preceding seven days for weekly reports**  Yes  No  Obligations Not Applicable | Choose an item. |

|  |  |
| --- | --- |
| **Para 7:** Reporting requirements related to the catching of deep-sea shark species | Preliminary Self-Assessment |
| Did vessel(s) flying your flag fulfil all reporting requirements, as per CMM 02 for all deep-sea shark species to the lowest taxonomical level possible when engaged in fishing for fishery resources  Yes  No | Choose an item. |



# Implementation of CMM 13 (2022) (Mitigation of Seabird Bycatch)

## Application and geographic scope

|  |
| --- |
| **Para 1:** Did vessel (s) flying your flag engage in fishing operations in the SIOFA agreement Area for Fishery Resources, as defined in Article 1(f) of the Agreement, south of 25°S. |
| Yes  No CMM not applicable. Please skip to the next CMM |

## General provisions for demersal and pelagic longliners and other demersal fishing gears



|  |  |
| --- | --- |
| **Para 2:** Application of mitigation measures to reduce incidents of seabird bycatch | Preliminary Self-Assessment |
| Did vessel(s) flying your flag using ***demersal or pelagic longlines or other demersal fishing gears***, apply all of the measures provided in paragraph 2 while operating south of 25°S:  Yes  No | Choose an item. |

## Provisions applying to demersal longliners



|  |  |
| --- | --- |
| **Para 3:**  Application of mitigation measures to reduce incidents of seabird bycatch | Preliminary Self-Assessment |
| Did vessel(s) flying your flag using ***demersal longlines***, apply all of the measures provided in paragraph 3 while operating south of 25°S:  Yes  No  No demersal longliners flying your flag (Obligation Not Applicable) | Choose an item. |



|  |  |
| --- | --- |
| **Para 4:**  Application of mitigation measures to reduce incidents of seabird bycatch | Preliminary Self-Assessment |
| Did vessel(s) flying your flag using ***demersal longliners of less than 25m*** apply *at least one* of the mitigations measures prescribed in paragraph 4 while operating south of 25°S:  Yes  No  No demersal longliners of less than 25m flying your flag (Obligation Not Applicable) | Choose an item. |

## Provisions applying to other demersal fishing gears



|  |  |
| --- | --- |
| **Para 5:** Application of mitigation measures to reduce incidents of seabird bycatch | Preliminary Self-Assessment |
| Did vessel(s) flying your flag that use demersal pots or traps ensure the cleanliness of the traps and pots so as not to attract birds, and ensure that buoy lines were not left floating at the surface, while they operated south of 25°S  Yes  No  No vessels using pots or traps flying your flag (Obligation Not Applicable) | Choose an item. |

## Provisions applying to pelagic longliners



|  |  |
| --- | --- |
| **Para 6:** Application of mitigations measures to reduce incidents of seabird bycatch | Preliminary Self-Assessment |
| Did ***pelagic longliners*** flying your flag use at least two of the three mitigation measures outlined in Annex 3  Yes  No  No pelagic longliners flying your flag (Obligation Not Applicable) | Choose an item. |

# Implementation of CMM 14 (2021) (High Seas Boarding and Inspection Procedures)

## General Provisions

|  |  |
| --- | --- |
| **Para 6:** General Provisions | Preliminary Self-Assessment |
| Were vessel(s) flying your flag boarded and inspected during the assessment period?  Yes  No  If yes, did masters of these fishing vessel(s) accept and facilitate boarding and inspections pursuant to this measure  Yes  No | Choose an item. |

|  |  |
| --- | --- |
| **Paras 7 – 9:** General Provisions | Preliminary Self-Assessment |
| Were boardings between a contracting party and a participating fishing entity conducted after a notification was sent to the Meeting of the Parties, at least 90 days prior to the boarding and inspection  Yes  No  Not Applicable | Choose an item. |
| Are vessel(s) flying your flag provided a copy or a translation of this measure  Yes  No  Not applicable | Choose an item. |
| Are Authorized Inspectors required to comply with this measure in the conduct of any boarding and inspection activities undertaken under these procedures  Yes  No  Not applicable | Choose an item. |

## Notification requirements

|  |  |
| --- | --- |
| **Para 10:** Designation of contact points | Preliminary Self-Assessment |
| Have contact points been designated for the purposes of receiving and sending notifications and reports to and from authorities of the fishing vessel(s)  Yes  No  Not Applicable  Have contact points been designated for the purposes of receiving and sending notifications and reports to and from the authorities of the inspecting vessel(s)  Yes  No  Not Applicable  Were there any changes in the designated contact points?  Yes  No  If yes, was the Secretariat notified of these changes?  Yes  No | Choose an item. |

## Participation

|  |  |
| --- | --- |
| **Para 12 & 13:** Notification of intention to carry out boarding and inspectors | Preliminary Self-Assessment |
| Did you notify the Secretariat of your intention to carry out boarding pursuant to this measure  Yes  No  Not Applicable Please explain why not applicable  If yes, please indicate if you provided all relevant information with respect to:  Each Authorized Inspection Vessel, as required by paragraph 12.a.[[10]](#footnote-19)  Yes  No  Each Authorized Inspectors, as required by paragraph 12.b.[[11]](#footnote-20)  Yes  No | Choose an item. |
| Were there any changes to information provided pursuant to Paragraph 12  Yes  No  Not Applicable  If yes, was the Secretariat informed of these changes  Yes  No | Choose an item. |

|  |  |
| --- | --- |
| **Para 15:** Boardings by military vessel(s) | Preliminary Self-Assessment |
| Did you carry out boarding and inspections using military vessel(s)  Yes  No (Obligation Not Applicable)  If yes, indicate if  Boardings were carried out by inspectors fully trained in fisheries enforcement procedures and duly authorised for this purpose by national laws.  Boardings conformed to the procedures contained within this measure. | Choose an item. |

## Cooperative activities

|  |  |
| --- | --- |
| **Para 18:** Bilateral or Multilateral Arrangements | Preliminary Self-Assessment |
| Did you notify the Secretariat of any bilateral or multilateral arrangements referred to in paragraph 16 of this CMM?  Yes  No  No bilateral or multilateral arrangements in effect (Obligation Not Applicable) | Choose an item. |

## Procedures



|  |  |
| --- | --- |
| **Para 19 – 26, 30-32:** Boarding and Inspection procedures by Authorized Inspectors | Preliminary Self-Assessment |
| Did Authorized Inspectors comply with boarding procedures established in paragraphs 19 – 26 and 30-32 of this CMM  Yes  No  Not applicable | Choose an item. |
| Indicate number of incidents involving the use of force in relation to boarding and inspections under this measure: Click or tap here to enter text.  Were all (if any) such incident(s) reported to the Authorities of the Fishing Vessel, the Authority of the Inspection Vessel, as well as Executive Secretary.  Yes  No  Not applicable | Choose an item. |



|  |  |
| --- | --- |
| **Para 27 – 29:** Responsibility of Master and Crew of the fishing vessel during boarding and Inspection | Preliminary Self-Assessment |
| Did Master and Crew of fishing vessel (s) comply with boarding procedures established in paragraph s 27 – 29 of this CMM  Yes  No  Not Applicable | Choose an item. |

## Inspection Reports

|  |  |
| --- | --- |
| **Para 33:** Reports of Authorized Inspectors | Preliminary Self-Assessment |
| Did Authorized inspectors prepare a full report on each boarding and inspection they carried out under this measures, including any supporting information, using the data fields in the *Boarding and Inspection Reporting Form (Annex 1 of this CMM)*  Yes  No  Not Applicable | Choose an item. |
| Were copies of all boarding and inspection reports (in electronic format) transmitted to the Authorities of the Fishing Vessel, and to the Executive Secretary, within three (3) working days of the completion of the boarding and inspection  Yes  No  Not Applicable  In cases where the reports could not be submitted within the specified timeframe, were the concerned parties notified of such, while specifying the time period within which the report will be provided?  Yes  No  Not Applicable | Choose an item. |
| Did all reports referred in paragraph 33 of this CMM include the name(s) and authority of the Authorized inspector(s)  Yes  No  Did all reports clearly identify any observed activity or conditions that the Authorized Inspectors believed to be a violation of the agreement of CMMs in force and indicated specific factual evidence relating to each alleged violation  Yes  No  Not Applicable |  |

## Serious violations

|  |  |
| --- | --- |
| **Para 35, 37, and 39:** Responsibilities of the Authority(ies) of Inspection Vessel(s) in relation to serious violations | Preliminary Self-Assessment |
| Were there any serious violations observed by your Authorized Inspectors during the assessment period?  Yes If yes, indicate number of serious violations observed: Click or tap here to enter text.  No (Obligations Not Applicable, please skip to next applicable obligation) | |
| Were the Authorities of the inspected vessel immediately notified, directly and through the Executive Secretary of the serious violation(s) observed?  Yes  No | Choose an item. |
| In cases where the Authorities of the Fishing Vessel have indicated they will investigate the alleged serious violation(s), were they provided with the specific evidence collected by the Authorized Inspectors, as soon as practicable?  Yes  No | Choose an item. |
| In cases where the Authorities of the Inspection vessel have decided to undertake investigation(s) of alleged serious violations(s), were the specific evidence collected by the Authorised Inspectors, along with the results of any investigation, provided to the Authorities of the Fishing Vessel and the Executive Secretary immediately upon completion of the investigation and in any case no later than 6 (six) months after the date of the inspection?  Yes  No | Choose an item. |

|  |  |
| --- | --- |
| **Para 36, 38 and 40** Responsibilities of the Authority(ies) of Fishing Vessel(s) in relations to serious violations | Preliminary Self-Assessment |
| Were there any serious violation(s) observed onboard vessel(s) flying your flag, by Authorized Inspectors during the assessment period?  Yes  No (Obligations Not Applicable, please proceed to paragraph 43)  If yes, indicate number of serious violations observed: Click or tap here to enter text. | |
| Did you provide an initial response to the notification(s) of the alleged serious violation(s) without delay and in any case no later than three (3) working days, to the Authorities of the Inspection Vessel and the Executive Secretary?  Yes  No | Choose an item. |
| In cases where you indicated that you would investigate the alleged serious violation(s), did you provide reports of the investigation to the Executive Secretary and the Authorities of the Inspection vessel within two (2) months of the notification in paragraph 36  Yes  No  Did you take any enforcement action against fishing vessel(s) found to have committed serious violations(s)  Yes  No  Not Applicable  If yes, were the Authorities of the Inspection Vessel, as well as the Executive Secretary notified of any such enforcement action within six (6) months of the date of notification in paragraph 36  Yes  No | Choose an item. |
| Did you receive any request from a CCP to investigate an alleged serious violation?  Yes  No (Obligation Not Applicable)  If yes, did you provide a reply, including details of any action taken or proposed to be taken in relation to the alleged serious violation, to all Contracting Parties as soon as practicable and in any case within two (2) months of such a request.  Yes  No  Upon completion of such investigations, did you provide a report on the outcome of the investigation to the Executive Secretary for circulation to all CCPs for consideration at the next Meeting of the Parties  Yes  No | Choose an item. |



## Annual Reports

|  |  |
| --- | --- |
| **Para 44:** Reports of action against vessel(s) that committed serious violations | Preliminary Self-Assessment |
| Did you report to the Meeting of the Parties through your implementation report required under Article 10(2) or under CMM 11 (Compliance Monitoring Scheme) on the boarding and inspections carried out by your Authorised Inspection Vessel(s), as well as upon possible violations observed.  Yes  No  No boarding and inspection conducted (Obligation Not Applicable) | Choose an item. |

|  |  |
| --- | --- |
| **Para 45:** Reports of Action against vessel(s) that committed serious violations | Preliminary Self-Assessment |
| If evidence warranted, indicate actions taken against such vessel(s) found to have committed serious violations: Indicate measures here  No vessel found to have committed serious violations (Obligation Not Applicable) | Choose an item. |

## Provisions relating to non-CCPs

|  |  |
| --- | --- |
| **Para 46 - 48:** Provisions relating to non-CCPs | Preliminary Self-Assessment |
| Did your Authorized Inspection vessel(s) identify unidentified or unauthorized vessel(s) of non-CCPs that were fishing in the agreement Area?  Yes  No  Not Applicable (Please skip to next CMM)  If yes, indicate the number of vessel(s) identified: Click or tap here to enter text.  Were these vessel(s) reported to the Executive Secretary  Yes  No | Choose an item. |
| Did your Authorized Inspection Vessel attempt to inform any fishing vessel identified pursuant to paragraph 46 that it has been seen or identified as potentially engaging in fishing that undermines the effectiveness of the Agreement or CMMs.  Yes  No  If yes was this information included in the report(s) sent to the Executive Secretary, as well as to the authorities of the relevant non-CCP  Yes  No | Choose an item. |
| Were you allowed to board vessel(s) of non-CCP identified pursuant to paragraph 46  Yes  No  If yes, indicate the number of vessel(s) boarded and inspected: Click or tap here to enter text.  Did you report findings of such inspections to the Executive Secretary  Yes  No | Choose an item. |

# Implementation of CMM 15 (2023) (Management of Demersal Stocks)

## General provisions

|  |
| --- |
| **Para 2:** Have vessel(s) flying your flag engaged in bottom fishing in the agreement area during the assessment Period?  Yes  No (CMM Not Applicable. Please skip this CMM) |



|  |  |
| --- | --- |
| **Para 12:** Prohibition on fishing in depths less than 500m | Preliminary Self-Assessment |
| Did vessel flying you flag, fishing with demersal longliner fished in depths shallower than 500m :  Yes  No | Choose an item. |

|  |  |
| --- | --- |
| **Para 13:** Requirement for mandatory observer coverage for vessel(s) participating in the *Dissostichus* spp. fishery.[[12]](#footnote-21) | Preliminary Self-Assessment |
| *Indicate the level of:*  Observer coverage for all fishing activity: Click or tap here to enter text.  Minimum of 25% of hooks haul per line observed over the duration of all fishing deployments  Yes  No | Choose an item. |

## 1. Management & research measures for toothfish in the Del Cano Area

## Effort/catch and operational limits

No vessel fished for Dissostichus spp. in the Del Cano Rise area (Please skip to 20 - 21, then proceed to paragraph 34).



|  |  |
| --- | --- |
| **Para 16:** *Dissostichus spp.* | Preliminary Self-Assessment |
| Please indicate:  Your total quota for the season of 1 December 2022 to 30 November 2023 (after any transfers) for *Dissostichus* spp.*:* Click or tap here to enter text.  Annual catch of *Dissostichus* spp*.* for the Fishing Season of December 2022 to November 2023: Click or tap here to enter text. | Choose an item. |

|  |  |
| --- | --- |
| **Para 17:** Monthly *Dissostichus* spp*.* catch report | Preliminary Self-Assessment |
| Have all monthly reports of your *Dissostichus spp.* catches been sent to the Secretariat during the assessment period?  Yes  No | Choose an item. |



|  |  |
| --- | --- |
| **Para 18:** Fishing Activity Reports | Preliminary Self-Assessment |
| Did your fishing vessel(s) inform the secretariat daily of all start and end points of set longlines, using the template in Annex II?  Yes  No | Choose an item. |



|  |  |
| --- | --- |
| **Para 19:** Effort Limitations | Preliminary Self-Assessment |
| Did fishing vessel(s) flying your flag, using longlines:  Exceed 3000 hooks per line  Yes  No  Set lines at minimum 3 nautical miles from each other  Yes  No | Choose an item. |

## Bycatch Limits

|  |  |
| --- | --- |
| **Para 20 - 21**: Bycatch Limits | Preliminary Self-Assessment |
| Did any vessel not targeting Dissostichus ssp. catch more than 0.5t of Dissostichus ssp during the season  Yes  No  If yes please indicate the number of vessel(s) that had bycatch of Dissostichus ssp. that exceeded the limit of 0.5t per season: Click or tap here to enter text. | |
| Were those vessel(s) prohibited from engaging in any further fishing in the Del Cano area for the rest of that season:  Yes  No | Choose an item. |

## VMS polling



|  |  |
| --- | --- |
| **Para 25:** VMS location report interval period | Preliminary Self-Assessment |
| Were VMS from each fishing vessel flying your flag position reports transmitted every hour, while they were operating in the Del Cano Rise area  Yes  No | Choose an item. |

## Observers

|  |  |
| --- | --- |
| **Para 26:** Requirement for mandatory observer coverage for vessel(s) participating in the *Dissostichus* spp. fishery. (Del Cano Rise area) | Preliminary Self-Assessment |
| *Indicate level of:*  observer coverage for all fishing activity: Click or tap here to enter text.  Did scientific observers onboard vessels observed 25% of hooks haul per line observed over the duration of the fishing deployment.  Yes  No | Choose an item. |

## Tagging and release



|  |  |
| --- | --- |
| **Para 27:** Tag and release | Preliminary Self-Assessment |
| Did vessel(s) flying your flag tag and release *Dissostichus* spp. specimens at a rate of at least 5 (five) fish per tonne green weight caught, while applying a minimum overlap statistic of at least 60% for tag release, once 30 or more Dissostichus spp. had been caught  Yes  No | Choose an item. |

## 2. Management & research measures for toothfish in Williams Ridge [FAO Subarea 57.4]

No vessel fished for *Dissostichus* spp*.* in Williams Ridge (Please go to paragraphs 44 - 45, then the end of this CCR)







|  |
| --- |
| **Para 34:** Total Annual Research Catch of *Dissostichus spp.* |
| Please indicate:  Annual research catch of *Dissostichus* spp*.* for the last fishing season of 1 December 2023 to 30 November 2024: Click or tap here to enter text. |



|  |  |
| --- | --- |
| **Para 35:** Daily catch report | Preliminary Self-Assessment |
| Did your vessel(s) send all daily reports of their *Dissostichus* spp*.* to the secretariat, using Annex III for template, also informing the secretariat on start and end points of set long lines, using the template provided by Annex IV:  Yes  No | Choose an item. |



|  |  |
| --- | --- |
| **Para 37:** Grid Cell Entry Notification requirements | Preliminary Self-Assessment |
| Did vessel(s) flying your flag inform the secretariat as soon as they entered a grid cell to fish for *Dissostichus* ssp., or send an advanced notification prior to entering a grid cell for fishing, outside of secretariat business hours.  Yes  No | Choose an item. |



|  |  |
| --- | --- |
| **Para 38:** Notification Requirements | Preliminary Self-Assessment |
| Did any vessel flying your flag fish in a grid cell, *before* receiving confirmation from the secretariat that two lines have not already been set in a grid during that season and that the grid was not being fished by another vessel.  Yes  No  Please indicate number of vessel(s), if any, that have failed to comply with the above requirements: Click or tap here to enter text. | Choose an item. |



|  |  |
| --- | --- |
| **Para 39:** Grid Cell Exit Notification Requirements | Preliminary Self-Assessment |
| Did vessel(s) flying your flag inform the Secretariat as soon as they exit the grid cell specified in paragraph 39 while also informing the Secretariat of the number of lines, if any, they have set and/or hauled in that grid cell:  Yes  No  Please indicate number of vessel(s), if any, that have failed to comply with the above requirements of paragraph 39: Click or tap here to enter text. | Choose an item. |



|  |  |
| --- | --- |
| **Para 40:** Effort (Number of Hooks) Limitations | Preliminary Self-Assessment |
| Did longline vessel(s) exceed 6250 hooks per line and/or set lines across grid cells:  Yes  No  Please indicate number of vessel(s), if any, that have failed to comply with the above requirements of paragraph 40: Click or tap here to enter text. | Choose an item. |



|  |  |
| --- | --- |
| **Para 41:** Limits on number of lines set per season, per grid cells | Preliminary Self-Assessment |
| Did your vessel set any lines in a grid that already had two lines in total set in that grid during a fishing season  Yes  No | Choose an item. |



|  |  |
| --- | --- |
| **Para 43:** Other effort limits | Preliminary Self-Assessment |
| Did vessel(s) flying your flag, and fishing for *Dissostichus* ssp. apply a break of a minimum of 30 days between consecutive fishing trips to William Ridge.  Yes  No | Choose an item. |

## Bycatch Limits

|  |  |
| --- | --- |
| **Para 44 & 45**: Bycatch Limits | Preliminary Self-Assessment |
| Did any vessel not targeting Dissostichus ssp. catch more than 0.5t of Dissostichus ssp during the season  Yes  No  If yes please indicate the number of vessel(s) that had bycatch of Dissostichus ssp. that exceeded the limit of 0.5t per season: Click or tap here to enter text. | |
| Were those vessel(s) prohibited from engaging in any further fishing in the Williams Ridge area for the rest of that season:  Yes  No | Choose an item. |

***---End of CCP Compliance Report---***

1. National binding measures may include fishing conditions, legislation, policies or any form of binding domestic arrangements established by CCPs. [↑](#footnote-ref-2)
2. As defined by Article 1(g) of the Agreement. [↑](#footnote-ref-3)
3. Applicable to all flag state CCPs [↑](#footnote-ref-4)
4. As Applicable [↑](#footnote-ref-6)
5. Not to be assessed for assessment periods of which the MoP did not close any area for fishing [↑](#footnote-ref-7)
6. As above. [↑](#footnote-ref-8)
7. As defined by Article 1(g) of the Agreement. [↑](#footnote-ref-13)
8. For CCPs with vessels on the RAV. [↑](#footnote-ref-15)
9. For CCPs with vessels on the RAV, irrespective of fishing activity during assessment period. [↑](#footnote-ref-16)
10. Details of vessel, (name, description, photograph, registration number, port of registry (and, if different from the port of registry, port marked on the vessel hull), international radio call sign), except where not applicable for military vessels and notification that the inspection vessel is clearly marked and identifiable as being on government service and by clearly flying a SIOFA inspection flag. [↑](#footnote-ref-19)
11. i. the name(s) of the authorities responsible for boarding and inspection;

    ii. an example of the credentials issued to its Authorised Inspectors;

    iii. notification that such Authorised Inspectors are fully familiar with the species and fishing activities to be inspected and the relevant provisions of the Agreement and CMMs in force;

    iv. notification that the Authorised Inspectors have received and completed training to safely carry out boarding and inspection activities at sea. Such training should include instruction on overcoming communication barriers and on de-escalation techniques; and

    v. notification that any Authorised Inspectors carrying arms have received and completed appropriate levels of training on the use of those arms. [↑](#footnote-ref-20)
12. Applicable as of the 1st December 2023 [↑](#footnote-ref-21)